

The Federal Funding Accountability and Transparency Act (FFATA) annual certification enumerated below represents material facts upon which the North Carolina Administrative Office of the Courts (NCAOC) and the North Carolina Human Trafficking Commission (NCHTC) rely when reporting information to the federal government required under federal law. If the NCAOC or HTC later determine that the subrecipient knowingly rendered an erroneous certification, the NCAOC and NCHTC may pursue all available remedies in accordance with state and federal law. Signor agrees that it will provide immediate written notice to the NCAOC and NCHTC if at any time Signor learns that any of the certifications provided below were erroneous when submitted or have since become erroneous by reason of changed circumstances. If the Signor cannot certify all of the statements contained in this section, the Signor must provide written notice to the NCAOC and the NCHTC detailing which of the below statements it cannot certify and why.

Enter your organization's [DUNS] Unique Entity ID:

CAGE No.:

Enter your organization's [SAM] Unique Entity ID:

Did your organization have gross income, from all sources, of less than \$300,000 in your previous tax year?

Yes ☐ No ☐ N/A ☐ (if entity does not generate income)

If your answer is Yes, skip Parts A, B, C, and D and complete Part E.

If your answer is No or N/A, complete Parts A and B.

## Part A. Certification Regarding % of Annual Gross from Federal Awards

Did your organization receive 80% or more of its annual gross income from federal awards in the previous tax year?

Yes ☐ No ☐

## Part B. Certification Regarding Amount of Annual Gross from Federal Awards

Did your organization receive \$25 million or more in annual gross income from federal awards in the previous tax year?

Yes ☐ No ☐

If your answer is Yes to both A and B, you must complete Part C.

If your answer is No to either A or B, skip Part C and go to Part D.

## Part C. Certification Regarding Public Access to Compensation Information

Does the public have access to information about the compensation [17 CFR 229.402(c)(2)] of the senior executives (e.g., officers, managing partners, or any other employees in management positions) in your business or organization (including parent organization, all branches, and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

Yes ☐ No ☐ N/A ☐ (if entity reports through some other means, state how: )

If your answer is Yes, skip Part D and complete Part E.

If your answer is No, you must provide compensation information to NCAOC/ NCHTC for FFATA reporting in Part D.

If N/A, you may still be required to supply compensation information pending NCAOC/ NCHTC or federal awarding agency approval. Skip Part D until requested by NCAOC/ NCHTC to supply compensation information and proceed to complete Part E.

**Part D. Certification Regarding Executive Compensation**

The Names and Total Compensation of the top five (5) executives if:

- More than 80% of annual gross revenues from the Federal government,
- Those revenues are greater than \$25M annually, and
- Compensation information is not available through reporting to the SEC.

<b>Subrecipient Executive Names</b>	<b>Total Compensation</b>

**Part E. General FFATA Certification**

As the duly authorized representative (Signatory) of the subrecipient named below, I hereby certify that the responses that I have provided to the questions in this certification form are true, complete, and correct to the best of my knowledge.

\_\_\_\_\_  
Printed Name of Authorized Representative

\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Title of Authorized Representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Legal Name of Subrecipient

\_\_\_\_\_  
Principal Place of Performance (POP) (City, County)

\_\_\_\_\_  
State

\_\_\_\_\_  
9-Character Zip Code (Zip +4)

\_\_\_\_\_  
POP Congressional District